OT 3 1 2002 RETHELLINITED

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Compton, et al.

Application No.:

09/997,277

Filed:

November 29, 2001

Title:

Oral Delivery Formulation

Docket No.:

1012-02

BOX FEE

Assistant Commissioner for Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as firstclass mail in an envelope addressed to: BOX FEE AMENDMENT, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

10/25/02

date of signature and mail

deposit

By:

Stephen J. Gaude

Reg. No. 48,921

Attorney for Applicants

Art Unit:

Examiner:

TBD

TBD

LETTER OF TRANSMITTAL

Sir:

In reply to the Notice to File Missing Parts dated 3/25/2002, Applicants supply the following items: (1) a new Declaration and Power of Attorney appointing the undersigned attorney to represent Applicants in the above-identified case, (2) a request for a five (5) month extension, (3) a check (No. 1654) in the amount of \$2,143.00 (this amount covers the fee of \$1163.00 as indicated in the 3/25/02 Notice as well as \$980 for

11/01/2002 MBERHE

00000015 09997277

06 FC:2255

980.00 DP

a five month extension pursuant to CFR 1.17(a)(5)), and (4) a copy of the Notice to File Missing Parts.

Should there be any remaining outstanding issue in this matter, the Examiner is invited to call the undersigned attorney at (603) 890-4099.

Respectfully submitted,

Stephen J audet, Ph.D.

Reg. No. 48,921

Attorney for Applicants

Date: 10/25/02



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/997.277

Edward R. Gates, Esq.

600 Atlantic Avenue Boston, MA 02210

Wolf, Greenfield & Sacks, P.C.

11/29/2001

Bruce Jon Compton

N0377/7005(ERG)

CONFIRMATION NO. 3115

FORMALITIES LETTER

*OC00

OC000000007708634

Date Mailed: 03/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$728.
 - \$504 for 56 total claims over 20.
 - \$84 for 2 independent claims over 3.
 - \$140 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1163.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/01/2002 MBERHE

HE 00000015 09997277 370 65 04

370.00 0 65.00 0 84.00 0 504.00 0